# Analysis of Representations for Domain Adaptation

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## Introduction

- **Domain** is a distribution  $\mathcal D$  on an instance set  $\mathcal X$
- Domain adaptation of a classifier
  - A classification task Source domain  $(\mathcal{D}_S)$ Target domain  $(\mathcal{D}_T)$ Different distributions Labelled data in source domain Unlabelled data in both domains
- Examples: spam filters, parsing, part-of-speech tagging

# Suggested approach

- Motivation: discriminative classification methods are based on the assumption of the same distribution for training and testing data. However, this is not always true.
- Intuition: common representation can make two domains appear similar and enable effective domain adaptation.
- Formalization: a bound on the *target* generalization error of a classifier trained from labelled data in the *source* domain.

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## Problem Setup

- *X* is the instance set, {0, 1} is the label set, *Z* is a feature set, e.g. ℝ<sup>d</sup>;
- distribution D over X, a target function f : X → [0,1] common for both domains ;
- a representation function  $\mathcal{R}: \mathcal{X} \longrightarrow \mathcal{Z}$  and hypothesis class  $\mathcal{H} \subseteq \{g: \mathcal{Z} \longrightarrow \{0, 1\}\}$
- $\mathcal{R}$  induces distribution  $\widetilde{\mathcal{D}}$  over  $\mathcal{Z}$  and its subsets and function  $\widetilde{f} : \mathcal{Z} \longrightarrow [0, 1]$ :  $\Pr_{\widetilde{\mathcal{D}}}[B] = \Pr_{\mathcal{D}}[\mathcal{R}^{-1}(B)]$  $\widetilde{f}(z) = \operatorname{E}_{\mathcal{D}}[f(x)|\mathcal{R}(x) = z]$

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• For a predictor  $h : \mathbb{Z} \longrightarrow [0, 1]$  the expected error is  $\epsilon_T(h) = \mathbb{E}_{z \sim \widetilde{D}_T} \left| \tilde{f}(z) - h(z) \right|$ 

## Assumptions

There is a hypothesis h ∈ H that performs well on both domains, i.e. there is exists h and a small λ such that inf<sub>h∈H</sub>[ε<sub>S</sub>(h) + ε<sub>T</sub>(h)] ≤ λ

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•  $\mathcal{H}$  has bounded capacity, e.g. *VC*-dimension *d*.

## Generalization Bound

#### Theorem

If a random labeled sample of size *m* is generated by applying  $\mathcal{R}$  to a  $\mathcal{D}_S$  - *i.i.d.* sample labeled according to *f*, and  $\widetilde{\mathcal{U}}_S$ ,  $\widetilde{\mathcal{U}}_T$  are unlabeled samples of size *m'* each, drawn from  $\widetilde{\mathcal{D}}_S$  and  $\widetilde{\mathcal{D}}_T$ respectively, then with probability at least  $1 - \delta$  (over the choice of the samples), for every  $h \in \mathcal{H}$ :

$$\begin{split} &\epsilon_{\mathcal{T}}(h) \leq \\ &\hat{\epsilon}_{\mathcal{S}}(h) + \frac{4}{m}\sqrt{d\log\frac{2em}{d} + \log\frac{4}{\delta}} + \lambda + d_{\mathcal{H}}(\widetilde{\mathcal{U}}_{\mathcal{S}},\widetilde{\mathcal{U}}_{\mathcal{T}}) + 4\sqrt{\frac{d\log(2m\prime) + \log\frac{4}{\delta}}{m'}} \\ &\text{A good representation $\mathcal{R}$ achieves low values for training error and domain distance simultaneously.} \end{split}$$

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## Computing the distance

- Distance between distributions  $\mathcal{D}, \mathcal{D}'$  is defined as  $d_{\mathcal{A}}(\mathcal{D}, \mathcal{D}') = 2 \sup_{A \in \mathcal{A}} |\Pr_{\mathcal{D}}[A] - \Pr_{\mathcal{D}'}[A]|,$
- Domain distance is to be measure only with respect to function in the hypothesis class where d<sub>H</sub>(.,.) indicates the distance on the class of subsets Z<sub>h</sub> = {z ∈ Z : h(z) = 1}.
- A proxy for d<sub>H</sub>(Ũ<sub>S</sub>, Ũ<sub>T</sub>) is obtained by training a classifier to discriminate between points generated by *source* and *target* distributions.

# Adapting a part-of-speech tagger from the financial to biomedical domains

- Procedure:
  - choose a representation  $\mathcal{R}$ ;
  - train a linear classifier using *R*;
  - measure both relevant terms of the bound.
- Building *R* (by Structural Correspondence Learning):
  - find domain-independent "pivot" features occuring frequently in the unlabelled data in **both** domains, e.g., determiners, < the token on the left >;
  - represent other features using their relative co-occurence counts with the pivot features;
  - compute a low-dimensional approximation to the co-occurrence matrix (through the singular value decomposition of the matrix).
- Intuition: features from source and target domains which behave similarly for PoS tagging will be represented similarly in the projected space.

## **Empirical Results**

- Source : articles from Wall Street Journal (WSJ)
- Labelled: 100 part-of-speech tagged sentences from WSJ
- Target data: biomedical abstracts (MEDLINE)
- Unlabelled data: 500,000 words from each domain
- instances are high-dimensional, binary vectors; Z is R<sup>d</sup>,
  d = 200;

(a) Plot of SCL representation for financial (squares) vs. biomedical (circles)



(**b**) Plot of SCL representation for nouns (diamonds) vs. verbs (triangles)



#### Contributions

Analysis of a classification problem when a *source* domain and a *target* domain have **different** distributions An upper bound on the generalization of a classifier trained on a *source* domain and applied on a *target* domain

#### Important References

"Detecting Change in Data Streams", by D. Kifer, S. Ben-David, J. Gehrke, *Proc of the 30th VLDB Conference*, 2004. "Domain Adaptation with Structural Correspondence Learning", by J. Blitzer, R. McDonald, F. Pereira, *Proc of EMNLP*, 2006.