

Typed compilation of objects

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Why typed compilation?

- We must ensure safe, efficient execution of **untrusted** code
 - Digital signature confirms **identity**, not safety
 - Reference monitor too **expensive** for fine-grained properties

How does it work?

- Develop sound & decidable **type system** for intermediate and object languages
- **Transform** source-level type information
- Emit object code + **typing derivation**

Why objects?

- Cannot deny that OO technology remains popular...



Why objects?

- Cannot deny that OO technology remains popular...
- Thus, for certifying compilation to be viable, we must support OO!

What is an object?

- C++ compiler hacker:

“An object is just a struct with a pointer to a struct containing function pointers.”

- True, but that fails to capture the **subtle invariants** that make it work...

What is an object?

- Functional programming advocate:

“An object is just a closure
with multiple entry points.”

- Maybe, but that fails to account for
dynamic binding.

Dynamic binding is essential

- Inheritance without polymorphism is possible, but certainly **not very useful**.
- One can declare derived types, but the actual operation being called is **always known at compile time**.

[Booch 1994]

Outline

- Object **layout** — efficient dynamic dispatch
- Object **encoding** — capturing the invariants
- Additional issues in compiling **Java**
- Compiling **non-manifest** base classes

Object layout

What is object layout?

- von Neumann architecture has **no notion** of methods, objects, classes, inheritance, or dynamic binding
- We must map these features onto load/store operations and sequential memory
- Procedural abstractions (records and functions) are “closer to the metal”

Learn from compiler hackers

- Whether or not they know type theory, they certainly **understand invariants**
- The efficient layout used in C++ **works for a reason**
- Can we understand and capture that reason?

Implementing dynamic dispatch

Object x = ...;

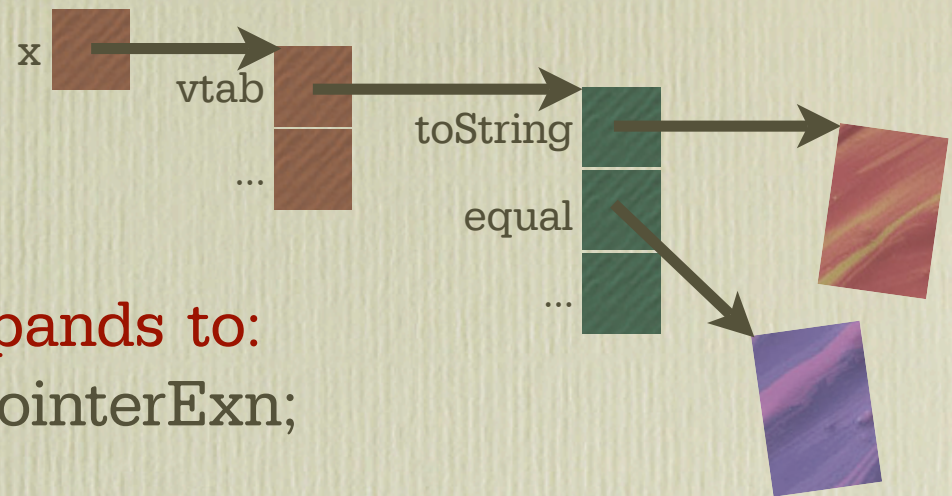
```
x.toString();           // invokevirtual – a primitive  
                        // of the Java Virtual Machine
```

Implementing dynamic dispatch

Object $x = \dots$;
 $x.toString()$;

// virtual method call expands to:
if ($x == \text{null}$) throw NullPointerException;
 $r1 = x.vtab$;
 $r2 = r1.toString$;
call $r2(x)$;

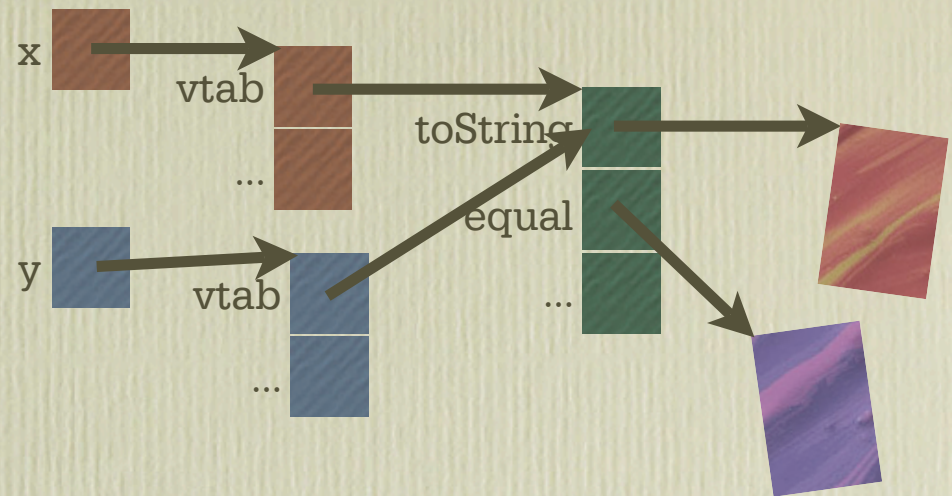
↑ self argument



Multiple objects share vtable

Object `x = ...;`
`x.toString();`

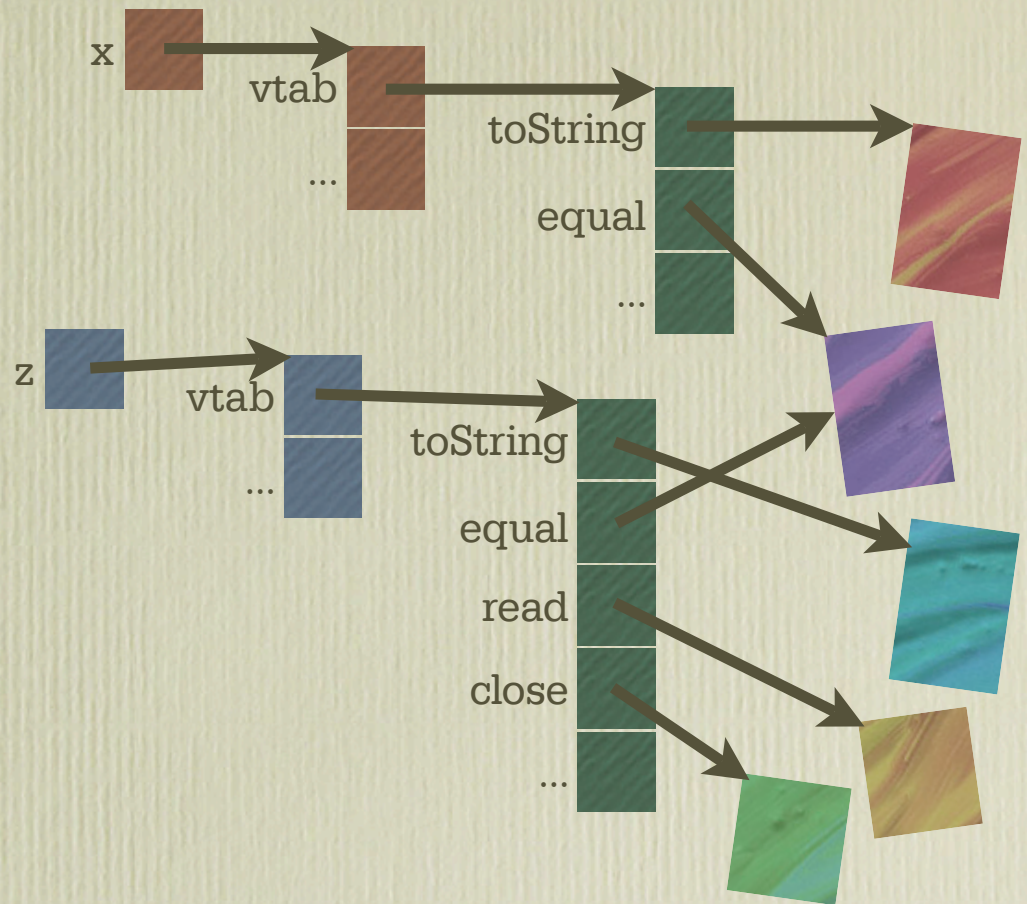
Object `y = ...;`



Subclasses share method code

Object x = ...;
x.toString();

InputStream z = ...;



Breaking the invariant

```
Object c = new C();  
c.toString();
```

```
Object d = new D();
```

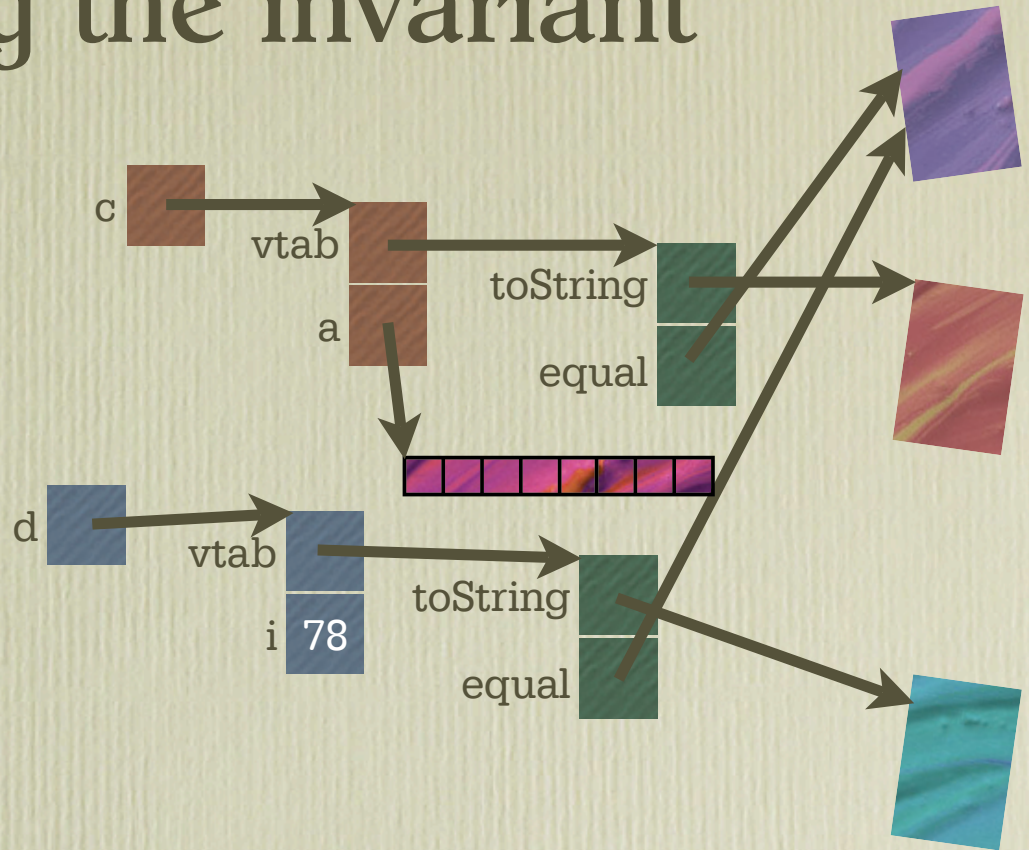
```
// virtual method call  
(null check)
```

```
r1 = c.vtab;
```

```
r2 = r1.toString;
```

```
call r2 (c);
```

```
call r2 (d);
```



What happened?

- The object passed as the self argument must be the **same object** from which the method was selected
 - (Actually, it must belong to same dynamic class)
- Goal:
 - **Encode** that invariant using a type system, but
 - Do not **interfere** with efficient layout

Object encoding

Objects are tuples of functions

- With single inheritance, all **offsets** should be known at compile time
- Therefore we just need **tuples** with fixed offsets
 - No record extension or concatenation
 - No first-class labels

Tuples

$$\frac{\Delta; \Gamma \vdash e_i : \tau_i \quad \forall i \in 1 \dots n}{\Delta; \Gamma \vdash \langle e_1, \dots, e_n \rangle : \langle \tau_1, \dots, \tau_n \rangle}$$

$$\frac{\Delta; \Gamma \vdash e : \langle \tau_1, \dots, \tau_n \rangle \quad 1 \leq i \leq n}{\Delta; \Gamma \vdash e.i : \tau_i}$$

Functions

$$\frac{\Delta; \Gamma, x : \tau \vdash e : \tau'}{\Delta; \Gamma \vdash \lambda x : \tau. e : \tau \rightarrow \tau'}$$

$$\frac{\Delta; \Gamma \vdash e : \tau \rightarrow \tau' \quad \Delta; \Gamma \vdash e' : \tau}{\Delta; \Gamma \vdash e e' : \tau'}$$

Typing self application

- Suppose x is an object, with an integer method in slot 1 of its vtable

$$\Delta; \Gamma \vdash (x.1.1) x : \text{int}$$

- But what is the type of x ?

Typing self application

$$\Delta; \Gamma \vdash x : \langle \langle \tau_x \rightarrow \text{int} \rangle, \text{int} \rangle$$

$$\Delta; \Gamma \vdash x.1 : \langle \tau_x \rightarrow \text{int} \rangle$$

$$\Delta; \Gamma \vdash x.1.1 : \tau_x \rightarrow \text{int} \quad \Delta; \Gamma \vdash x : \tau_x$$

$$\Delta; \Gamma \vdash (x.1.1) x : \text{int}$$

Recursive definition?

$$\tau_x = \langle\langle\tau_x \rightarrow \text{int}\rangle, \text{int}\rangle$$

$$\begin{aligned}\tau_x &= \mu\alpha. \langle\langle\alpha \rightarrow \text{int}\rangle, \text{int}\rangle \\ &= \langle\langle(\mu\alpha. \langle\langle\alpha \rightarrow \text{int}\rangle, \text{int}\rangle) \rightarrow \text{int}\rangle, \text{int}\rangle\end{aligned}$$

A simple object type

$$\tau_x = \mu\alpha. \langle \langle \alpha \rightarrow \text{int} \rangle, \text{int} \rangle$$

- But what about subclasses?
- Subtyping doesn't help much, due to the recursive type
- Again, take inspiration from the programmer...

Each object has two types

- Programmers distinguish between the **static** and **dynamic** classes of an object

```
Object x;  
if (rand()%2 == 0) { x = new Cat(); }  
else { x = new Dog(); }  
x.toString();
```

Each object has two types

- The **static** class is known at compile time. The **dynamic** class is unknown, but it is some subclass of the static class.
- There are several ways to model this idea directly

Embrace the unknown

- ...with an existential quantifier

$$\Delta, \alpha :: \kappa \vdash \tau :: \text{Type} \quad \Delta \vdash \tau' :: \kappa$$

$$\Delta; \Gamma \vdash e : \tau[\alpha := \tau']$$

$$\Delta; \Gamma \vdash \text{hide } \alpha :: \kappa = \tau' \text{ in } e : \tau : \exists \alpha :: \kappa. \tau$$

$$\Delta; \Gamma \vdash e : \exists \alpha :: \kappa. \tau \quad \Delta \vdash \tau' :: \text{Type}$$

$$\Delta, \alpha :: \kappa; \Gamma, x : \tau \vdash e' : \tau'$$

$$\Delta; \Gamma \vdash \text{open } e \text{ as } \alpha :: \kappa, x : \tau \text{ in } e' : \tau'$$

Quantify over tuple tail

- Each object may have **additional fields and methods** beyond what is known at compile time.

$$\frac{\Delta \vdash \tau :: \text{Type} \quad \Delta \vdash \tau' :: R^{i+1}}{\Delta \vdash \tau; \tau' :: R^i}$$

$$\frac{}{\Delta \vdash \text{End}^i :: R^i}$$

$$\frac{\Delta \vdash \tau :: R^0}{\Delta \vdash \langle \tau \rangle :: \text{Type}}$$

Efficient object encodings

$$\exists \alpha. \alpha \wedge (I \ \alpha)$$

$$\exists \alpha \leq (I \ \alpha). \alpha$$

$$\exists \delta :: \text{Type} \rightarrow \mathbb{R}^1. \mu \alpha. (I' \ \delta \ \alpha)$$

$$I = \lambda \alpha. \langle \alpha \rightarrow \text{int} \rangle$$

$$I' = \lambda \delta :: \text{Type} \rightarrow \mathbb{R}^1. \lambda \alpha. \langle \alpha \rightarrow \text{int}; \delta \ \alpha \rangle$$

Non-manifest base classes

Limitations

- Preceding ideas work well for most of Java & C#
 - Single inheritance
 - Method offsets known at compile time
- Some languages are more flexible
 - Moby — base class specified at link time
 - Loom — first-class classes
 - Mixins

Non-manifest base classes

- The common substrate of many advanced OO features
- When compiling a class C, relatively little is known about its super class
- How do we determine C's object layout?
- Method calls are more expensive; how to optimize them?

'Links'

- Fisher, Reppy, and Riecke [ESOP 2000] developed an **untyped** IL to handle non-manifest base classes
 - Method suites are still tuples
 - Dictionaries map method labels to their offsets
 - Offsets may be computed and stored at compile time, link time, or run time.
 - A type system for 'links' seems **very difficult**

Type-safe ‘certified binaries’

- Shao, et al. [POPL 2002] showed how to use calculus of constructions as a very sophisticated type language for any computation language
- Example: reason about array indices in the type language, and safely lift & remove bounds checks in the computation language
- Should work for reasoning about offsets in Links